

Applicant: Christy Cummins
Serial No.: 10/517,004
Filing Date: June 6, 2005
For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Sheet 1 of 17
Confirmation No.: 8672
Att'y Docket No.: 16497.124
Art Unit: 3731

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
___A1	287,046	10/23/1883	Norton
___A2	1,088,393	02/24/1914	Backus
___A3	1,331,401	02/17/1920	Summers
___A4	2,087,074	07/13/1937	Tucker
___A5	2,453,227	11/09/1948	James
___A6	2,684,070	07/20/1954	Kelsey
___A7	2,944,311	07/12/1960	Schneckenberger
___A8	2,969,887	01/31/1961	Darmstadt et al.
___A9	3,015,403	01/02/1962	Fuller
___A10	3,142,878	08/04/1967	Santora
___A11	3,482,428	12/09/1969	Kapitanov et al.
___A12	3,586,002	06/22/1971	Wood
___A13	3,604,425	09/14/1971	Le Roy
___A14	3,677,243	09/24/1971	Nerz

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A15	3,757,629	09/11/1973	Schneider
____A16	3,805,337	04/23/1974	Branstetter
____A17	3,944,114	03/16/1976	Coppens
____A18	4,014,492	03/29/1977	Rothfuss
____A19	4,192,315	03/11/1980	Hilzinger et al.
____A20	4,207,870	06/17/1980	Eldridge
____A21	4,217,902	08/19/1980	March
____A22	4,278,091	07/14/1981	Borzone
____A23	4,318,401	03/09/1982	Zimmerman
____A24	4,345,606	08/24/1982	Littleford
____A25	4,396,139	08/02/1983	Hall et al.
____A26	4,411,654	10/25/1983	Boarini et al.
____A27	4,412,832	11/01/1983	Kling et al.
____A28	4,428,376	01/31/1984	Mericle
____A29	4,485,816	12/04/1984	Krumme
____A30	4,505,273	03/19/1985	Braun et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A31	4,505,274	03/19/1985	Speelman
____A32	4,523,695	06/18/1985	Braun et al.
____A33	4,525,157	06/25/1985	Valaincourt
____A34	4,586,503	05/06/1986	Kirsch et al.
____A35	4,607,638	08/26/1986	Crainich
____A36	4,610,251	09/09/1986	Kumar
____A37	4,610,252	09/09/1986	Catalano
____A38	4,665,906	05/19/1987	Jervis
____A39	4,687,469	08/18/1987	Osyпка
____A40	4,724,840	02/16/1988	McVay et al
____A41	4,738,658	04/19/1988	Magro et al.
____A42	4,744,364	05/17/1988	Kensey
____A43	4,771,782	09/20/1988	Millar
____A44	4,772,266	09/20/1988	Groshong
____A45	4,777,950	10/18/1988	Kees, Jr.
____A46	4,789,090	12/06/1988	Blake, III

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A47	4,832,688	05/23/1989	Sagae et al.
____A48	4,836,204	06/06/1989	Landymore et al.
____A49	4,852,568	08/01/1989	Kensey
____A50	4,860,746	08/29/1989	Yoon
____A51	4,865,026	09/12/1989	Barrett
____A52	4,874,122	10/17/1989	Froelich et al.
____A53	4,878,915	11/07/1989	Brantigan
____A54	4,887,601	12/19/1989	Richards
____A55	4,917,087	04/17/1990	Walsh et al.
____A56	4,934,364	06/19/1990	Green
____A57	4,950,258	08/21/1990	Kawai et al.
____A58	5,007,921	04/16/1991	Brown
____A59	5,015,247	05/14/1991	Michelson
____A60	5,021,059	06/04/1991	Kensey et al.
____A61	5,026,390	06/25/1991	Brown
____A62	5,053,008	10/01/1991	Bajaj

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A63	5,061,274	10/29/1991	Kensey
____A64	5,100,418	03/31/1992	Yoon et al.
____A65	5,108,420	04/28/1992	Marks
____A66	5,114,032	05/19/1992	Laidlaw
____A67	5,114,065	05/19/1992	Storace
____A68	5,131,379	07/21/1992	Sewell, Jr.
____A69	5,147,381	09/15/1992	Heimerl et al.
____A70	5,156,609	10/20/1992	Nakao et al.
____A71	5,167,643	12/01/1992	Lynn
____A72	5,171,249	12/15/1992	Stefanchik et al.
____A73	5,176,648	01/05/1993	Holmes et al.
____A74	5,192,301	03/09/1993	Kamiya et al.
____A75	5,192,302	03/09/1993	Kensey et al.
____A76	5,209,756	05/11/1993	Seedhorn et al.
____A77	5,222,974	06/29/1993	Kensey et al.
____A78	5,246,443	09/21/1993	Mai

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A79	5,290,243	03/01/1994	Chodorow et al.
____A80	5,290,310	03/01/1994	Makower et al.
____A81	5,292,309	03/08/1994	Van Tassel et al.
____A82	5,292,332	03/08/1994	Lee
____A83	5,304,184	04/19/1994	Hathaway et al.
____A84	5,306,254	04/26/1994	Nash et al.
____A85	5,318,542	06/07/1994	Hirsch et al.
____A86	5,320,639	06/14/1994	Rudnick
____A87	5,334,216	08/02/1994	Vidal et al.
____A88	5,334,217	08/02/1994	Das
____A89	5,350,399	09/27/1994	Erlebacher et al.
____A90	5,352,229	10/04/1994	Goble et al.
____A91	5,366,458	11/22/1994	Korthoff et al.
____A92	5,366,479	11/22/1994	McGarry et al.
____A93	5,395,030	03/07/1995	Kuramoto et al.
____A94	5,411,520	05/02/1995	Nash et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A95	5,413,571	05/09/1995	Katsaros et al.
____A96	5,416,584	05/16/1995	Kay
____A97	5,417,699	05/23/1995	Klein et al.
____A98	5,431,639	07/11/1995	Shaw
____A99	5,456,400	10/10/1995	Shichman et al.
____A100	5,470,010	11/28/1995	Rothfuss et al.
____A101	5,474,557	12/12/1995	Mai
____A102	5,478,353	12/26/1995	Yoon
____A103	5,478,354	12/26/1995	Tovey et al.
____A104	5,486,195	01/23/1996	Myers et al.
____A105	5,507,755	04/16/1996	Gresl et al.
____A106	5,522,840	06/04/1996	Krajicek
____A107	5,527,322	06/18/1996	Klein et al.
____A108	5,536,251	07/16/1996	Evard et al.
____A109	5,544,802	08/13/1996	Crainich
____A110	5,560,532	10/01/1996	DeFonzo et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A111	5,643,318	07/01/1997	Tsukernik et al.
____A112	5,645,565	07/08/1997	Rudd et al.
____A113	5,645,567	07/08/1997	Crainich
____A114	5,674,231	10/07/1997	Green et al.
____A115	5,683,405	11/04/1997	Yacoubian et al.
____A116	5,690,674	11/25/1997	Diaz
____A117	5,695,504	12/09/1997	Gifford, III et al.
____A118	5,695,505	12/09/1997	Yoon
____A119	5,725,554	03/10/1998	Simon et al.
____A120	5,728,114	03/17/1998	Evans et al.
____A121	5,728,122	03/17/1998	Leschinsky et al.
____A122	5,728,132	03/17/1998	Van Tassel et al.
____A123	5,732,872	03/31/1998	Bolduc et al.
____A124	5,782,844	07/21/1998	Yoon et al.
____A125	5,782,860	07/21/1998	Epstein et al.
____A126	5,782,861	07/21/1998	Cragg et al.

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____A127	5,810,846	09/22/1998	Virnich et al.
____A128	5,810,851	09/22/1998	Yoon
____A129	5,817,113	10/06/1998	Gifford, III et al.
____A130	5,820,631	10/13/1998	Nobles
____A131	5,830,125	11/03/1998	Scribner et al.
____A132	5,855,312	01/05/1999	Toledano
____A133	5,861,005	01/19/1999	Kontos
____A134	5,871,474	02/16/1999	Hermann et al.
____A135	5,871,501	02/16/1999	Leschinsky et al.
____A136	5,871,525	02/16/1999	Edwards et al.
____A137	5,879,366	03/09/1999	Shaw et al.
____A138	5,902,310	05/11/1999	Foerster et al.
____A139	5,910,155	06/08/1999	Ratcliff et al.
____A140	5,922,009	07/13/1999	Epstein et al.
____A141	5,935,147	08/10/1999	Kensey et al.
____A142	5,941,890	08/24/1999	Voegelé et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A143	5,951,518	09/14/1999	Licata et al.
____A144	5,951,589	09/14/1999	Epstein et al.
____A145	5,964,782	10/12/1999	Lafontaine et al.
____A146	5,984,934	11/16/1999	Ashby et al.
____A147	5,984,949	11/16/1999	Levin
____A148	6,007,563	12/28/1999	Nash et al.
____A149	6,036,720	03/14/2000	Abrams et al.
____A150	6,045,570	04/04/2000	Epstein et al.
____A151	6,056,769	05/02/2000	Epstein et al.
____A152	6,056,770	05/02/2000	Epstein et al.
____A153	6,063,085	05/16/2000	Tay et al.
____A154	6,077,281	06/20/2000	Das
____A155	6,077,291	06/20/2000	Das
____A156	6,080,182	06/27/2000	Shaw et al.
____A157	6,080,183	06/27/2000	Tsugita et al.
____A158	6,110,184	08/29/2000	Weadock

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____A159	6,117,148	09/12/2000	Ravo
____A160	6,120,524	09/19/2000	Taheri
____A161	6,149,660	11/21/2000	Laufer et al.
____A162	6,149,667	11/21/2000	Hovland et al.
____A163	6,152,937	11/28/2000	Peterson et al.
____A164	6,287,322	09/11/2001	Zhu et al.
____A165	6,305,891	10/23/2001	Burlingame
____A166	6,334,865	01/01/2002	Redmond et al.
____A167	6,391,048	05/21/2002	Ginn et al.
____A168	6,398,752	06/04/2002	Sweezer et al.
____A169	6,402,765	06/11/2002	Monassevitch et al.
____A170	6,409,739	06/25/2002	Nobles et al.
____A171	6,443,158	09/03/2002	Lafontaine et al.
____A172	6,450,391	09/17/2002	Kayan et al.
____A173	6,461,364	10/08/2002	Ginn et al.
____A174	6,488,692	12/03/2002	Spence et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____A175	6,547,806	04/15/2003	Ding
____A176	6,582,452	06/24/2003	Coleman et al.
____A177	6,602,263	08/05/2003	Swanson et al.
____A178	6,616,686	09/09/2003	Coleman et al.
____A179	6,626,918	09/30/2003	Ginn et al.
____A180	6,632,238	10/14/2003	Ginn et al.
____A181	6,634,537	10/21/2003	Chen
____A182	6,652,538	11/25/2003	Kayan et al.
____A183	6,679,904	01/20/2004	Gleeson et al.
____A184	6,695,867	02/24/2004	Ginn et al.
____A185	6,726,704	04/27/2004	Loshakove et al.
____A186	6,780,197	08/24/2004	Roe et al.
____A187	6,926,731	08/09/2005	Coleman et al.
____A188	RE 31,855	03/26/1985	Osborne
____A189	RE 34,866	02/21/1995	Kensey et al.
____A190	2001/0007077	07/05/2001	Ginn et al.

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____A191	2001/0047180	11/29/2001	Grudem et al.
____A192	2002/0026208	02/28/2002	Belef
____A193	2002/0026215	02/28/2002	Redmond et al.
____A194	2002/0072768	06/13/2002	Ginn
____A195	2002/0077657	06/20/2002	Ginn et al.
____A196	2002/0082641	06/27/2002	Ginn et al.
____A197	2002/0107542	08/08/2002	Kanner et al.
____A198	2002/0193808	12/19/2002	Belef et al.
____A199	2003/0004543	01/02/2003	Gleeson et al.
____A200	2003/0009180	01/09/2003	Hinchliffe et al.
____A201	2003/0078598	04/24/2003	Ginn et al.
____A202	2003/0093096	05/15/2003	McGuckin et al.
____A203	2003/0125766	07/03/2003	Ding
____A204	2003/0158577	08/21/2003	Pantages et al.
____A205	2003/0158578	08/21/2003	Pantages et al.
____A206	2004/0039414	02/26/2004	Carley et al.

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____A207	2005/0090859	04/28/2005	Ravikumar

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
____A208	DE 297 23 736 U I	04/01/1999	Germany
____A209	DE 197 11 288	01/10/1998	Germany
____A210	EP 0 386 361	09/12/1990	EPO
____A211	EP 0 756 851	02/05/1997	EPO
____A212	EP 0 774 237	05/21/1997	EPO
____A213	EP 0 858 776	08/19/1998	EPO
____A214	EP 0 941 697	09/15/1999	EPO
____A215	FR 2 443 238	07/04/1980	France
____A216	FR 2 722 975	02/02/1996	France
____A217	GB 1 358 466	07/03/1974	Great Britain
____A218	GB 2 075 144	11/11/1981	Great Britain
____A219	WO 96/24291	08/15/1996	PCT

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____A220	WO 97/07741	03/06/1997	PCT
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____A222	WO 98/17179	04/30/1998	PCT
____A223	WO 98/24374	06/11/1998	PCT
____A224	WO 98/25508	06/18/1998	PCT
____A225	WO 99/62408	12/09/1999	PCT
____A226	WO 00/71032	11/30/2000	PCT
____A227	WO 00/56223	09/28/2000	PCT
____A228	WO 00/56228	09/28/2000	PCT
____A229	WO 00/06029	02/10/2000	PCT
____A230	WO 00/07640	02/17/2000	PCT
____A231	WO 01/35832	05/25/2001	PCT
____A232	WO 01/49186	07/12/2001	PCT
____A233	WO 01/91628	12/06/2001	PCT
____A234	WO 02/19924	03/14/2002	PCT
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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
____A236	ZA 20010527	01/18/2001	ZA

Other Documents
(including author, title, pertinent pages, etc.)

<u>Examiner Initial*</u>	Database WPI; Section PQ, Week 200120; Derwent Publications Ltd., London GB; Class P31, AN 2001-203165; XP002199926 & ZA 200 100 528 A (ANTHONY T), 28 February 2001 (2001-02-28) abstract.
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VIA eFILE

Form PTO-1449

Applicant: Christy Cummins

Serial No.: 10/517,004

Filing Date: June 6, 2005

For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Sheet 17 of 17

Confirmation No.: 8672

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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